

## City & County of Swansea Audit Committee Update – November 2017

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### Financial audit work 2016-17 – City & County of Swansea Pension Fund

Activity	Scope	Status
<b>Audit Plan</b>	Plan of financial audit work for 2016-17.	Audit Committee March 2017.
<b>Financial Statements/Annual Audit Letter</b>	Audit of the Pension Fund's 2016-17 financial statements and Annual Audit Letter.	Audit Committee September 2017.

### Financial audit work 2016-17 – City & County of Swansea

Activity	Scope	Status
<b>Audit Plan</b>	Plan of financial audit work for 2016-17.	Audit Committee March 2017.
<b>Financial Statements 2016-17</b>	Audit of the Council's 2016-17 financial statements.	Audit Committee and Council September 2017.
<b>Certification of Grants and Returns 2016-17</b>	Summary of grants and returns certification work 2016-17.	Planned Audit Committee January 2018.
<b>Annual Audit Letter</b>	Report summarising our 2016-17 financial audit work.	Planned Audit Committee January 2018.

## Performance Audit work - City &amp; County of Swansea

2016-17 Improvement Assessment	Scope	Status
<b>Governance</b>	This review will provide councils with a baseline from which to plan improvements to governance following the introduction of CIPFA's revised framework and the requirement for councils to adopt the sustainable development principle from April 2016	Completed June 2017
<b>Annual Improvement Report (AIR)</b>	Annual report summarising the audit work undertaken in the last year which also includes a summary of the key findings from reports issued by 'relevant regulators'	Completed August 2017.
2017-18 Improvement Assessment	Scope	Status
<b>Corporate Improvement Plan Audit</b>	Checks Council compliance with Local Government Measure (Wales) 2009 requirement to set improvement objectives.	Certificate Published September 2017
<b>Improvement Plan Audit</b>	Checks Council compliance with Local Government Measure (Wales) 2009 requirement to publish a self-assessment of performance in the previous year by 31 October.	Certificate Published November 2017
<b>Annual Improvement Report (AIR)</b>	Annual report summarising the audit work undertaken in the last year which also includes a summary of the key findings from reports issued by 'relevant regulators'	Planning
<b>WFG Year one commentary</b>	Year one commentary on WFG/Baseline Gather evidence on how the 44 bodies are beginning to respond to the requirements of the WFG Act and identify examples of notable emerging practice. There is no local report it is intended the baseline will inform a commentary to be published summer 2018.	Underway
<b>Overview and Scrutiny – Fit for the Future</b>	This review will examine the impact of the WFG Act on the work of scrutiny committees including PSB scrutiny, facilitating improvement and sharing of good practice.	Planning

2017-18 Improvement Assessment	Scope	Status
<b>Service User Review</b>	To gather evidence and insight into the extent to which services respond to the needs and expectations of service users both in their design and delivery.	Planning
<b>Aligning Levers of change</b>	<p>The purpose of this review is to support the development of local action that will promote positive practice and help identify barriers to be addressed. The review will assist councils to:</p> <ul style="list-style-type: none"> <li>• refine current arrangements in Councils by promoting what is working well, identifying any barriers and developing local improvement opportunities; and</li> <li>• facilitate sharing of practice between Councils.</li> </ul>	Planning
<b>Housing/WHQS Local Project</b>	To determine whether the Council has effective arrangements in place to enable it to engage with residents in meeting the WHQS by 2022.	Planning. Fieldwork likely to take place February/March 2018.
<b>Digital Risk</b>	Diagnostic.	Planning
2016-17 Local Government Studies	Scope	Status
<b>Improving wellbeing through housing adaptations</b>	We will review how adaptations prevent access to and speed up discharge from hospitals. The work will look at how health use adaptations to underpin their activity as well as how efficient and effective organisations are at procuring and delivering adaptations work.	Report is in clearance. Swansea has not been selected to take part in the detailed fieldwork of this study
<b>Strategic commissioning of learning disability services by local authorities</b>	Fieldwork will focus on both the corporate approach to strategic commissioning but also use findings from the tracer area to understand how effective operational arrangements are. We are working with CSSIW and SSIA and will be building on recent national inspection and support work on learning disabilities.	Report is in clearance. Swansea has not been selected to take part in the detailed fieldwork of this study.

<p><b>How local government manages demand - Homelessness services</b></p>	<p>The study will focus on homelessness in local authorities using the recent prevention duties placed on local authorities to judge how demand for services is managed.</p>	<p>Report clearance has finished and is scheduled for publication on 4<sup>th</sup> January 2018. Swansea was a fieldwork site.</p>
<p><b>2017-18 Local Government Studies</b></p>		
<p><b>Integrated Care Fund</b></p>	<p><b>Scope</b></p> <p>Scoping underway</p>	<p><b>Status</b></p> <p>Not yet started</p>
<p><b>Services to rural communities</b></p>	<p>The study will focus on whether local government uses its resources to deliver services that meet the needs of rural communities today and in the longer term.</p>	<p>In progress. Swansea has not been selected to take part in the detailed fieldwork of this study.</p>
<p><b>Using data effectively</b></p>	<p>This study will seek to identify whether councils' corporate management arrangements for managing and using data are leading to better decision making in the use of resources.</p> <p>The study will collect information from all councils in Wales.</p> <p>A short survey has been issued to the following respondents across all councils in Wales</p> <ol style="list-style-type: none"> <li>1. corporate management teams</li> <li>2. ICT Managers</li> <li>3. Information Officers</li> <li>4. Policy Officers</li> <li>5. Operational Managers</li> <li>6. and Elected Members</li> </ol> <p>The survey closes on 15th December.</p>	<p>In progress.</p>

National Studies	Update and link to report
Welsh Government oversight of further education finances and delivery	Published Feb 2017 <a href="#">Welsh Government oversight of further education finances and delivery</a> .
Public Procurement in Wales	<a href="#">Link</a> to published report. Published Oct 2017
Savings Planning in Councils in Wales	<a href="#">Link</a> to Published Report. Published June 2017
Good Governance when determining significant service change National summary Report	<a href="#">Link</a> to published report. Published Oct 2017
The National Procurement Service	<a href="#">Link</a> to published report. Published Oct 2017